



Molecular Imprints, Inc.

LEGAL DEPARTMENT

P.O. Box 81536  
Telephone: (512) 339-7760  
kbrooks@militho.com

Austin, Texas 78708-1536  
Facsimile: (512) 491-8918

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**FACSIMILE TRANSMITTAL SHEET**

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TO: Examiner (unassigned)  
Group Art Unit 1756

FROM: Kenneth C. Brooks  
Reg. No. 38,393

COMPANY:  
United States Patent and Trademark Office

DATE:  
March 8, 2005

FAX NUMBER:  
703-872-9306

TOTAL NO. OF PAGES INCLUDING COVER:  
6

RE:

INFORMATION DISCLOSURE STATEMENT

U.S. Patent Application No. 10/747,737

Filing Date: 12/29/2003

Entitled: DEVICE FOR HOLDING A TEMPLATE FOR USE IN IMPRINT LITHOGRAPHY

Our Ref. No.: PA97-39D13D21

Please see attached:

Information Disclosure Statement (5 pgs), including  
Form PTO/SB/08A

KCB:kep

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bailey et al.  
App. No.: 10/747,737 GPAU: 1756  
Filing Date: 12/29/2003 Examiner: unassigned  
Dkt. No.: PA97-39D13D21 Conf. No.: 2048  
For: DEVICE FOR HOLDING A TEMPLATE FOR USE IN IMPRINT  
LITHOGRAPHY

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INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure and pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98. The undersigned brings the patents, publications, applications or other information identified in the attached:

☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449 to the Examiner's attention in the above-identified application. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed:

before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

Applicant(s) does not believe that any additional fees are due, but if the Commissioner believes additional fees are due,

the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 502650.

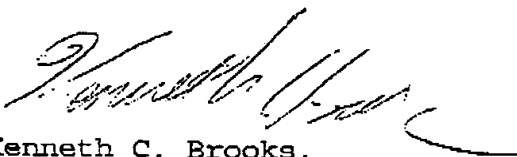
## CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as either First Class Mail or Express Mail, in an envelope addressed to the Commissioner for Patents.

Signed: Katrina Prati  
Typed Name: Katrina Prati

Date: 3-8-05

Respectfully Submitted,

  
Kenneth C. Brooks,  
Reg. No. 38,393  
Legal Department  
Molecular Imprints, Inc.  
P.O. Box 81536  
Austin, TX 78758-1536  
(512) 339-7760  
(512) 491-8918 (fax)

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/747,737
				Filing Date	12/29/2003
				First Named Inventor	Todd Bailey
				Group Art Unit	1756
				Examiner Name	Unassigned
Sheet	1	of	3	Attorney Docket Number	PA97-39D13D21

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Priority or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	B1	2004/0168588	A1	Choi et al.	9/2/2004	
	B2	2004/0149687	A1	Choi et al.	8/5/2004	
	B3	2003/0215577	A1	Willson et al.	11/20/2003	
	B4	2004/0007799	A1	Choi et al.	1/15/2004	
	B5	2004/0021254	A1	Sreenivasan et al.	2/5/2004	
	B6	2004/0021866	A1	Watts et al.	1/5/2004	
	B7	2004/0163563	A1	Bailey et al.	8/26/2004	
	B8	2004/0251775	A1	Choi et al.	12/16/2004	
	B9	2004/0022888	A1	Sreenivasan et al.	2/5/2004	
	B10	2004/0053146	A1	Sreenivasan et al.	3/18/2004	
	B11	2004/0086793	A1	Sreenivasan et al.	5/6/2004	
	B12	2004/0104641	A1	Choi et al.	6/3/2004	
	B13	2004/0168586	A1	Bailey et al.	9/2/2004	
	B14	2004/0169441	A1	Choi et al.	9/2/2004	
	B15	2004/0170771	A1	Bailey et al.	9/2/2004	
	B16	2004/0189994	A1	Sreenivasan et al.	9/30/2004	
	B17	2004/0189996	A1	Sreenivasan et al.	9/30/2004	
	B18	2004/0200411	A1	Willson et al.	10/14/2004	
	B19	2004/0209177	A1	Sreenivasan et al.	10/21/2004	
	B20	6,842,229	B2	Sreenivasan et al	1/11/2005	
	B21	2004/0089979	A1	Rubin	5/13/2004	
	B22	2004/0090611	A1	Choi et al.	5/13/2004	
	B23	2004/0112861	A1	Choi et al.	6/17/2004	
	B24	2004/0223131	A1	Choi et al.	11/11/2004	
	B25	4,256,829		Daniel	3/17/1981	
	B26	2003/0179354	A1	Araki et al.	9/25/2003	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449A/PTO  <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/747,737
				Filing Date	12/29/2003
				First Named Inventor	Todd Bailey
				Group Art Unit	1756
				Examiner Name	Unassigned
				Attorney Docket Number	PA97-39D13D21
Sheet	2	of	3		

[illegible]

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>5</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>			
		Application Number	10/747,737		
		Filing Date	12/29/2003		
		First Named Inventor	Todd Bailey		
		Group Art Unit	1756		
		Examiner Name	Unassigned		
Sheet	3	of	3	Attorney Docket Number	PA97-39D13D21

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B29	U.S. App. No. 10/614716, filed 7/7/2003, entitled: Conforming Template for Patterning Liquids Disposed on Substrates, naming inventors Sreenivasan et al.	
	B30	U.S. App. No. 10/785248, filed 2/24/2004, entitled: Method to Control the Relative Position Between a Body and a Substrate, naming inventors Sreenivasan et al.	
	B31	U.S. App. No. 10/864214, filed 6/9/2004, entitled: Imprint Lithography System to Produce a Light to Impinge upon and Polymerize a Liquid in Superimposition with Template Overlay Marks, naming inventors Sreenivasan et al.	
	B32	U.S. App. No. 10/923629, filed 8/20/2004, entitled: Apparatus for Imprint Lithography Using an Electric Field, naming inventors Sreenivasan et al.	
	B33	U.S. App. No. 10/978285, filed 10/29/2004, entitled: Step and Flash Imprint Lithography, naming inventors Willson et al.	

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